

3-23-01

#4

8 Sector



March 22, 2001

750 BERING DRIVE  
HOUSTON, TX 77057-2198  
PHONE 713.787.1400  
FAX 713.787.1440  
A LIMITED LIABILITY PARTNERSHIP

CRAIG M. LUNDELL  
PARTNER  
713.787.1415  
lundellc@howrey.com

FILE: 12598.0128.NPUS00

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, DC 20231

CERTIFICATE OF EXPRESS MAIL	
NUMBER	EL291392.049US
DATE OF DEPOSIT	3/22/01
I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
 Signature	

RE: *U.S. Patent Application Entitled: INTRUSION RESISTANT GLASS LAMINATES - James R. Moran, Jun Lu and Julia Schimmelpenningh; Serial No. 09/737,234 (Solutia Ref. 06-12(13807)P1*

Sir:

In response to the Notice to File Missing Parts mailed February 5, 2001, enclosed herewith is a copy of the Notice to File Missing Parts, Declaration, Assignment and Assignment Cover Sheet, Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney and a Petition to Establish Prior Receipt of Omitted Item. The Commissioner is authorized to deduct the fees of \$1,424, which consist of \$710 to complete the basic filing fee, \$144 for 8 total claims over 20, \$400 for 5 independent claims over 3, a late filing fee surcharge as set forth in 37 C.F.R. 1.16(e) of \$130 and the \$40 Assignment recordation fee. As set forth in the attached Petition, the Commissioner is also authorized to charge the petition fee of \$130.00 to the account identified below. Should any additional fees under 37 C.F.R. §§ 1.16(l) be deemed necessary for any reason in connection with this application, or should an overpayment be included herein, the Assistant Commissioner is hereby authorized to deduct or credit any fees from Howrey Simon Arnold & White Deposit Account No. 01-2508/12598.0128.NPUS00.



Assistant Commissioner for Patents

March 22, 2001

Page 2

Please date stamp and return the enclosed postcard to evidence receipt of these materials.

Respectfully submitted,

A handwritten signature in cursive script that reads 'Craig M. Lundell'.

Craig M. Lundell

Reg. No. 30,284

CML:scl

Enclosures

cc: Mr. John P. Foryt (w/encl.)




750 BERING DRIVE  
HOUSTON, TX 77057-2198  
PHONE 713.787.1400  
FAX 713.787.1440  
A LIMITED LIABILITY PARTNERSHIP

CRAIG M. LUNDELL  
PARTNER  
713.787.1415  
lundellc@howrey.com

December 14, 2000

FILE: 12598.0001.000000

<b>CERTIFICATE OF EXPRESS MAILING</b>
NUMBER EL 392859581US
DATE OF DEPOSIT Dec. 14, 2000
I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.
 Signature

**BOX PATENT APPLICATION**  
Assistant Commissioner for Patents  
Washington, DC 20231

RE: *U.S. Patent Application Entitled: INTRUSION RESISTANT GLASS LAMINATES -- James R. Moran, Jun Lu and Julia Schimmelpenninck (06-12(13807))*

Sir:

Transmitted herewith for filing is a 18-page patent specification including 28 claims and an abstract. Also included are Figures 1-8 on six sheets. The specification and drawings constitute the application of James R. Moran, Jun Lu and Julia Schimmelpenninck for the captioned invention.

Please note that this application is filed without an inventors' Declaration and Assignment, a Power of Attorney, and filing fees. Pursuant to 37 C.F.R. § 1.53(b) and (f), the Applicants request the Patent and Trademark Office to accept this application and accord a serial number and filing date as of the date this application is deposited with the U.S. Postal Service for Express Mail. Further, the Applicants request that the NOTICE OF MISSING PARTS-FILING DATE GRANTED pursuant to 37 C.F.R. § 1.53(f) be sent to the undersigned Applicants' representative.

Please date stamp and return the enclosed postcard to evidence receipt of this application.



Assistant Commissioner for Patents  
December 14, 2000  
Page 2

Please forward any reply to this communication directly to our Houston office for docketing purposes. The mailing address and the physical address for courier packages is 750 Bering Drive, Houston, Texas, 77057-2198, and the Houston fax number is 713.787.1440.

Respectfully submitted,

Craig M. Lundell  
Reg. No. 30,284

CML:scl  
Encl:



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/737,234	12/14/2000	James R. Moran	12598.0001.000000

CONFIRMATION NO. 8345

## FORMALITIES LETTER



\*OC000000005735301\*

HOWREY SIMON ARNOLD & WHITE  
750 Bering Drive  
Houston, TX 77057-2198

Date Mailed: 02/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$544.
  - \$144 for 8 total claims over 20.
  - \$400 for 5 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

03/26/2001 MLAKW1 00000020 012508 09737234

- The balance due by applicant is \$ 1384.

01 FC:101	710.00 CH
02 FC:102	400.00 CH
03 FC:103	144.00 CH
	130.00 CH

The following item(s) appear to have been omitted from the application:

- Figure(s) Fig. 6 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s)

(with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

---

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE